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Delphi Deeds

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Dan Matthews Briefing at the Aeronautical Center

Tom Park and Dan Matthews came to Oklahoma City this Monday for a briefing on the Enterprise Services Center (ESC). The visit went well, and Dan took back with him a lot of information about Delphi and the ESC. Dan, by the way, is the new CIO for DOT, and while he has only been with DOT since March 7th of this year, he brings with him many years of IT experience. Most recently, he was Senior Vice President at Savantage Financial Services, Inc. Before that, he was VP at Lockheed-Martin. For an excellent profile of Dan Matthews, read the following article in [Federal Computer Week](http://www.fcw.com/fcw/articles/2003/0721/mgt-profile-07-21-03.asp):

<http://www.fcw.com/fcw/articles/2003/0721/mgt-profile-07-21-03.asp>

Production Readiness Checkpoint – FAA Conversion

The FAA and Delphi Conversion Teams jointly hosted an off-site meeting, the Production Readiness Checkpoint, on August 26-27 in Bricktown. The purpose of this meeting was to run a final debrief of all activities leading up to FAA's conversion. The participants discussed the outcomes and issues involved in pre-conversion testing, e.g., the stress test, object migration and dry runs. They also reviewed fiscal yearend closeout coordination and training and helpdesk plans, as well as PRISM/OCI readiness.

How Will Prism and Delphi Work Together?

First of all – what is Prism? Prism is a comprehensive web-based purchasing system, developed by Compusearch to replace ACQUIRE as FAA's purchasing system. Prism has been used at DOT since 1992, and is currently in use by 38 federal agencies. It will go-live at FAA at the same time FAA converts to Delphi, in the late October, early November timeframe. After that, Acquire will no longer be available for new transactions.

Prism integrates with Delphi through Oracle/Compusearch Integration software (OCI). Purchasing information is relayed via OCI. Requisitions and purchase orders will originate in Prism and be sent to Delphi. Invoices and receipts related to Prism transactions will also be transmitted from Prism to Delphi. Supplier records, on the other hand, will go from Delphi to Prism. Prism data will always be validated before entry into Delphi.



What are the benefits of Prism?

- Processes purchasing data in real time, using web-based software
- Supports multiple purchasing sites, and separates data between regions, centers and headquarters.
- Simplifies adjustment process, by decreasing the number of steps and manual interventions needed, for both purchasing and accounting

Delphi Rules of Behavior

With Delphi, as with all commercial-off-the-shelf (COTS) software packages, technical controls alone are inadequate to ensure complete security or proper separation of duties. Management controls must supplement technical controls. Accordingly, the Office of Management and Budget (OMB) established security requirements for agencies that use these management controls. Those requirements are published in OMB Bulletin No. A-130, *Management of Federal Information Resources, Appendix III, Security of Federal Automated Information Systems*, dated February 1996. Delphi has acted on OMB's requirements, and we are unique in creating managerial rules that are specific to each application in Oracle. For instance, in order to logon to Production in Payables, you must read and accept rules such as this one – the person who enters a Payables invoice cannot be the same person who previews and approves the invoice.

What is GWA and How Will it Affect Delphi?



Financial Management Services (FMS) is an important area within Treasury. Their mission is to collect financial data from federal agencies, compile it, and create reports for their customer agencies, Congress, the President, and the taxpaying public. And, while federal accounting and reporting requirements have changed significantly over the past few years and new technology has vastly improved the kind and detail of financial information that can be gathered, federal reporting has not changed. In response to this, Treasury has launched an initiative called the **Government-Wide Accounting and Reporting (GWA) Modernization Initiative**.

The GWA Modernization Initiative is working toward the following changes:

The improvements that GWA makes will provide all of Treasury's federal customers, including Delphi, with faster and more accurate reconciliations, and better financial reporting.

- Capturing the Treasury Account Symbol (TAS) and the new Business Event Type Code (BETC) at the point of original entry. The TAS ties the cash transaction directly to the Treasury fund. The BETC identifies the transaction type.
- Entering both the TAS and the BETC when transmitting transactions between federal agencies. Once implemented, this will reduce the reporting of transactions that should cancel each other out when viewed at the department level or government-wide. This will greatly improve the reliability of federal financial statements.
- Matching transactions quickly and accurately, at the agency level. Using TASC and BETC will reduce research time and eliminate suspense accounts, since transactions between government agencies will be more immediately identifiable.
- Eliminating cyclical reporting to and from FMS. The monthly cash transactions report, the SF-224, as well as the paper SF-215 deposit ticket, will be phased out. Both of these will be replaced by a web-based portal application – the Treasury Web Application Infrastructure – that will provide one access point for all data transmits.
- Allowing agencies to determine their own reconciliation schedule. Major reconciliation reports, the SF6652, SF6653, SF6654 and SF6655, now produced monthly, will be replaced by a web based Account Statement available online, in a plain-English format, similar to a bank statement.
- Providing agencies with their Treasury fund balance on a nearly real time basis, with only a 24-hour lag time.
- Making queries and reports available on the web, at any time, with review, print and download capabilities.

FY2003 YearEnd Workshop

The Year End Workshop was held July 22-25, 2003, this year at reviewed:

- Lessons learned from FY2002
- Pre-Closing Entries,
- September Month End,
- FY 2003 year end - practice run, and
- Financial Statement Issues



MMAC. The Workshop participants

Participants at the workshop came away with valuable knowledge that will assist them as we go into the 2003 fiscal year end.